

## PUBLIC NOTICE

At 10:00 a.m. on November 10, 2011, the Ohio Division of Securities ("Division") will hold a public hearing regarding the Division's intent to amend Ohio Administrative Code 1301:6-3-16. The hearing will be held in the offices of the Division of Real Estate and Professional Licensing located at 77 South High Street, 20th Floor, Columbus, Ohio 43215.

Copies of the proposed amended rule may be obtained by contacting the Division of Securities at 77 S. High Street, 22<sup>nd</sup> Floor, Columbus, Ohio 43215-6131 or by calling (614) 644-7381. Copies of the proposed rule amendment may also be obtained from the Division's Internet homepage located at [www.com.ohio.gov/secu](http://www.com.ohio.gov/secu) or the Register of Ohio located at [www.registerofohio.state.oh.us](http://www.registerofohio.state.oh.us). The proposed rule amendment is summarized below:

### **Amended Rule**

*OAC 1301:6-3-16.* The proposed amendment will add the limited examination "Series 79, Investment Banking Representative" as one of the examinations the Division will consider as satisfying the minimum qualifications for a securities salesperson license application. Rule 16 currently lists the exams meeting the examination component for a securities salesperson. The proposed amendment would add the S79 to that list.

In addition, the Division proposes amendments to Rule 1301:6-3-16(A)(2) in order to make minor formatting changes (e.g., capital letters to lowercase; improper use of quotation marks, etc.).